Revised: 03/11/2022



REGISTERED CRAB RECEIVER (RCR) FEE CALCULATION FORM OMB Control No. 0648-0711 Expiration Date: 09/30/2025

NOAA/National Marine Fisheries Service Office of Operations & Management (OMD) P.O. Box 21668 Juneau, Alaska 99802-1668 Fax (907) 586-7255 (800) 304-4846 toll free / (907) 586-7202



Filling out this form indicates that you <u>disagree</u> with your Fee Liability Summary. Form must be completed in its entirety and submitted with documentation.

# Submittal Deadline - July 31

Payment must be submitted ONLINE at <a href="https://alaskafisheries.noaa.gov/webapps/efish/login">https://alaskafisheries.noaa.gov/webapps/efish/login</a>

1 November of DCD		2 NIMEG D ID								
1. Name of RCR:		2. NMFS Person ID:								
		3. Taxpayer ID (Employer ID Number or SSN):								
		4. Date of Birth or Date of Incorporation:								
5. All RCR Permit Numbers Held by Permit Holder:										
•										
6. Permanent Business Mailing Address:										
7. Business Telephone Number:	8. Business Fax Number	: 9. Business Email Address:								
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	BLOCK B – APPLIC	CANT SIGNATURE								
Pursuant to 28 U.S.C. § 1746, I declare under penalty of perjury that the foregoing is true and correct.										
1. Printed Name of RCR (If completed by representative, attach authorization):										
2. Signature of RCR:		. Date:								
6										

**Note:** You must submit adequate documentation supporting your revised fee liability. Examples of such documentation include valid fish tickets, sales receipts, or check stubs that clearly identify the CR landing amount, species, date, time, and ex-vessel value or price.

ATTACHMENT A CRAB FEE CALCULATION WORKSHEET										
RCR Permit Number	Month/ Year of Landing	Port Location (use "AT SEA" for landings delivered to a Stationary Floating Crab Processor)	Fishery	Species	CR Program	CR Pounds	NMFS Calculated Ex-Vessel Price	Actual Ex- Vessel Price	Total	
Sample	May 2020	Dutch Harbor	BBR	RED	IFQ	5,000		\$2.20	\$11,000	
1.										
2.										
3.										
4.										
5.										
6.										
7.										
8.										
9.										
10.										
11.										
12.										
13. Total Pounds										
14. Total Ex-vessel Value (add lines 2 – 12):										
15. Plus Total Adjustments (retros, bonuses):										
16. Subtotal (add lines 14 and 15):										
17. Fee Liability (multiply the published fee percentage by line 15):										
<ul><li>18. Less Pre-payments or Credits (if any):</li><li>19. Balance Due (enter result after subtracting line 17 from 16):</li></ul>										
20. Online Payment Amount:										

This information is used to verify the identity of the applicant(s) and to accurately retrieve confidential records related to Federal permits. The primary purpose for requesting the SSN/TIN is for the collection and reporting on any delinquent amounts arising out of such person's relationship with the government pursuant to the Debt Collection Improvement Act of 1996 (Public Law 104-134). Personal information is confidential and protected under the Privacy Act (5 U.S.C. 552a). Business information may be disclosed to the public.

# HAVE YOU MADE A COPY FOR YOUR RECORDS?

Your Fee Submission Form And any Accompanying Documents

#### Instructions For

## REGISTERED CRAB RECEIVER (RCR) FEE CALCULATION FORM

#### How are fees calculated?

By statute, fees must be split between the harvesting and processing sectors. NMFS will compute the annual fee percentage that will apply for each crab fishing year. For non-catcher/processor Registered Crab Receivers (RCRs), fee liability will be calculated by multiplying the applicable fee percentage by the ex-vessel value of the Crab Rationalization (CR) Program crab received by the RCR at the time of receipt.

For instance, suppose an RCR received 1 pound of crab from a harvester, and the ex-vessel value of that crab was \$1 per pound. Using the maximum allowable fee percentage of 3%, each sector would owe half the fee percentage, which is 1.5%, or in this case, \$0.015. Therefore, the RCR would pay the harvester \$0.985 for that pound of crab and would self-collect an additional \$0.015 per pound. The total fee payment made to NMFS for that pound of crab by the RCR would be 3% or \$0.03. Because catcher/processors must also be RCRs and participate in both the harvesting and processing sectors, they are responsible for paying the full fee percentage for that same crab, which would be 3% or \$0.03 per pound. The fee liability percentage applies to all value paid/received for CR crab, whether in cash, goods, or services.

## How are catcher/processor standard prices assessed?

NMFS developed an ex-vessel value methodology that calculates, as closely as possible by month and port or port-group, the variations in the actual ex-vessel values of CR crab landings based on information provided by shoreside buyers, which includes:

- (1) landed pounds by CR crab species, port-group, and month;
- (2) total ex-vessel value by CR crab species, port-group, and month; and
- (3) price adjustments, including any retro-payments.

NMFS cannot implement standard price calculations more often than annually due to confidentiality issues and administrative constraints. Therefore, catcher/processors are responsible for calculating their fee liability at the end of a crab fishing year based on the current year's catcher/processor standard prices as provided to them by NMFS Restricted Access Management. Each catcher/processor is responsible for retaining its own estimated fees up to 3 percent of its estimated ex-vessel value until the end of the crab fishing year. Catcher/processor standard prices are based on the current year's shoreside ex-vessel value, thereby minimizing any disparity between the fee liability paid by shoreside processors and catcher/processors.

## When are my fees due?

RCRs will deduct harvester fees at the time of receipt and payment for CR crab. Therefore, harvester's fees will be due each time they deliver crab to an RCR. The RCR will collect, document, and submit fees for all CR crab received from harvesters as well as submit their portion of fees for all CR crab received from harvesters. All RCRs, including catcher/processors, are responsible for submitting the cost recovery payment for all CR crab received during a crab fishing year by July 31 of the following crab fishing year.

# What happens if I don't pay my fees?

If an RCR owes fees and fails to submit full payment for the previous crab fishing year by July 31, the Regional Administrator may disapprove any transfer of individual fishing quota (IFQ), individual processor quota (IPQ), quota share (QS), or initial processor quota share (PQS) to or from the RCR and may withhold issuance of any new CR crab permits, including IFQ, IPQ, federal crab fishing vessel, or RCR permits for the subsequent crab fishing year. If payment is not received by the 30th day after final agency action, the matter will be referred to the appropriate authorities for collection.

**Payment:** NMFS no longer accepts payments using this form. Payments must be made online at

https://alaskafisheries.noaa.gov/webapps/efish/login.

## When complete, submit the form and related documents:

By mail to: Fee Coordinator

NOAA National Marine Fisheries

P.O. Box 21668

Juneau, AK 99802-1668

Or by fax to: 907-586-7255

**Remember**: You must pay online, even if you disagree with your fee liability summary. Payment of your estimated liability will be reviewed once this form and documentation are received.

### **COMPLETING THE FORM**

NOTE: It is important that all blocks are completed and all necessary documents are attached. Failure to answer any of the questions, provide attachments, or to sign the application could result in delays in the processing of your application.

## BLOCK A – IDENTIFICATION OF REGISTERED CRAB RECEIVER (RCR)

- 1. Enter name of RCR.
- 2. Enter NMFS Person ID
- 3-4. Enter Tax Identification Number (TIN), Employer Identification Number (EIN), or Social Security Number (SSN) and date of incorporation if a business or date of birth if an individual.
- 5. Provide all of the RCR permit numbers held by Permit Holder.
- 6. Provide the permanent business mailing address. This is the address where the RCR permit will be sent.
- 7-9. Provide the business telephone number, business fax number, and business email address.

#### **BLOCK B - CERTIFICATION AND SIGNATURE**

Print and sign name of RCR and date signed. If completed by an authorized representative, attach authorization.

## ATTACHMENT A -- CRAB FEE CALCULATION WORKSHEET

Completing this worksheet:

- ♦ You must provide documentation supporting your calculated crab fee liability, if it is different from the amount on your CR Crab Liability Summary.
- Your fee liability is based on the total value received for CR crab reported under your RCR permit(s). It should represent the total dollar value of CR crab before any deductions are made for goods and services provided (e.g.,

bait, ice, fuel, repairs, machinery replacement), multiplied by the NMFS published fee percentage for the CR crab fishing year.

- ◆ Catcher/processors must use the standard price for all crab harvested and processed at sea.
  - RCR Permit Number: Enter permit number(s).
  - Month/Year of Landing: Enter date(s) the landing(s) was/were made.
  - Port Location: Enter the port(s) where landing(s) was/were made.
  - Fishery: Enter the CR Fishery in which these crabs were harvested.
  - Species: Enter the crab species (e.g., red, blue, tanner, opilio)
  - CR Program: Enter the appropriate CR Program (IFQ, CDQ, or Adak)
  - CR Pounds: Enter the number of CR pounds landed (excluding deadloss, personal use, confiscated pounds or pounds of crab harvested and processed by a CP vessel) on permit(s) for specific date(s).
  - Standard Ex-Vessel Price: Enter the standard ex-vessel price located on your Crab Fee Liability Summary.
  - Actual Ex-Vessel Price: Enter the actual ex-vessel price paid for crab accepted under this RCR permit for this landing.
  - Total: Multiply the total pounds landed on the permit(s) by the standard or actual ex-vessel price to get the total for each landing.
- 13. Total Pounds.
- 14. Ex-vessel Value Total: Add lines 1 through 12 to get the subtotal of fees owed for all permits.
- 15. Plus Total Adjustments: Add total adjustments (retro payments or bonuses paid in previous year).
- 16. Subtotal: Add lines 14 and 15.
- 17. Fee Liability: Multiply the published fee percentage by line 16.
- 18. Less Pre-Payments or Credits (if any). If you have a pre-payment or credit, it will be indicated on your Crab Rationalization Fee Liability Summary.
- 19. Balance Due: This indicates your balance due or credit after subtracting line 18 from 17.
- 20. Online Payment Amount: If you show a balance, enter the amount of the payment you made online.

Payments must be made through the NMFS secure, online system at <a href="https://alaskafisheries.noaa.gov/webapps/efish/login">https://alaskafisheries.noaa.gov/webapps/efish/login</a>. To use this feature, permit holders must log in using their username and password, and follow the directions on the site to complete the payment. Online payments are processed through Pay.gov, a secure system for electronic payments used by many government agencies.

#### PUBLIC REPORTING BURDEN STATEMENT

Public reporting burden for this collection of information is estimated to average 30 minutes, including the time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to: NOAA National Marine Fisheries Service, Alaska Region, Attn: Assistant Regional Administrator, Sustainable Fisheries Division, P.O. Box 21668, Juneau, AK 99802.

#### ADDITIONAL INFORMATION

Before completing this form please note the following: 1) Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number; 2) This information is mandatory and is required to manage commercial fishing effort under 50 CFR part 680 and under section 402(a) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et seq.*) and under 16 U.S.C. 1862(j); 3) Responses to this information request are confidential under section 402(b) of the Magnuson-Stevens Act as amended in 2006. It is also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect confidentiality of fishery statistics.

#### PRIVACY ACT STATEMENT

AUTHORITY: The collection of this information is authorized under the Magnuson-Stevens Fishery Conservation and Management Act, 16 U.S.C. 1801 *et seq.* 

PURPOSE: NMFS uses this information to verify the identity of the applicant(s), accurately retrieve confidential records related to federal permits, and verify that the appropriate fees are paid. The primary purpose for requesting the SSN/TIN is for the collection and reporting on any delinquent amounts arising out of such person's relationship with the government pursuant to the Debt Collection Improvement Act of 1996 (Public Law 104-134). Personal information is confidential and protected under the Privacy Act (5 U.S.C. 552a). Business information may be disclosed to the public.

ROUTINE USES: Disclosure of this information is subject to the published routine uses identified in the Privacy Act System of Records Notices COMMERCE/NOAA-19, Permits and Registrations for the United States Federally Regulated Fisheries. NMFS may post some information submitted from this form on its public website (https://fisheries.noaa.gov/region/alaska). In addition, NMFS may share information submitted on this form with other State and Federal agencies or fishery management commissions, including staff of the North Pacific Fishery Management Council and Pacific States Marine Fisheries Commission.

DISCLOSURE: Providing this information is voluntary; however, failure to provide complete and accurate information or failure to pay the fee may result in the permit holder's loss of IFQ, IPQ, QS, or PQS transfer privileges, not receiving an IFQ or IPQ permit for that crab fishing year, and the permit holder owing additional interest, penalties, and late fees.