

Management and Budget Update



**NOAA
FISHERIES**

Office of
Management
and Budget

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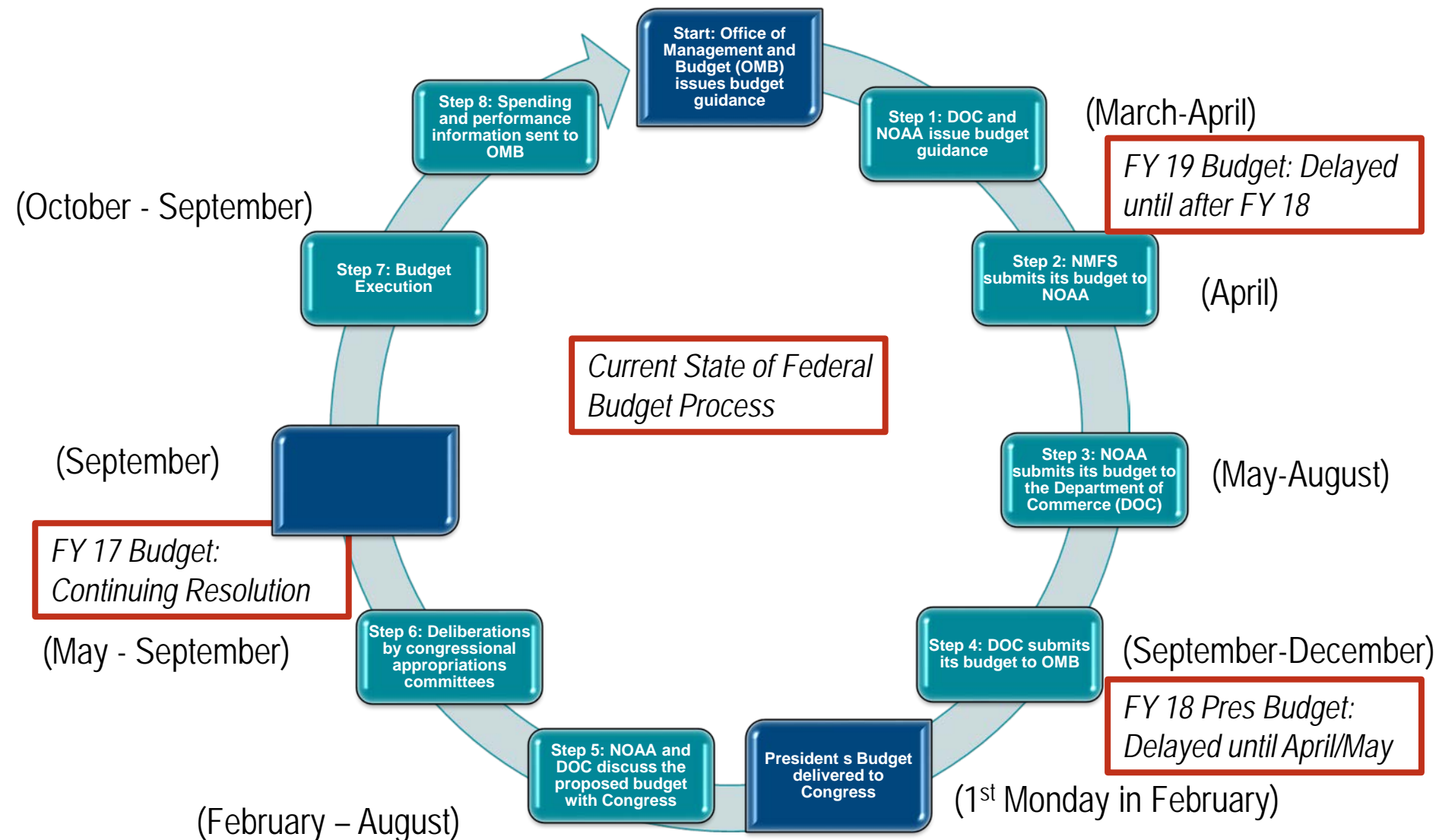
Council Coordination Committee
February 28, 2017

Agenda

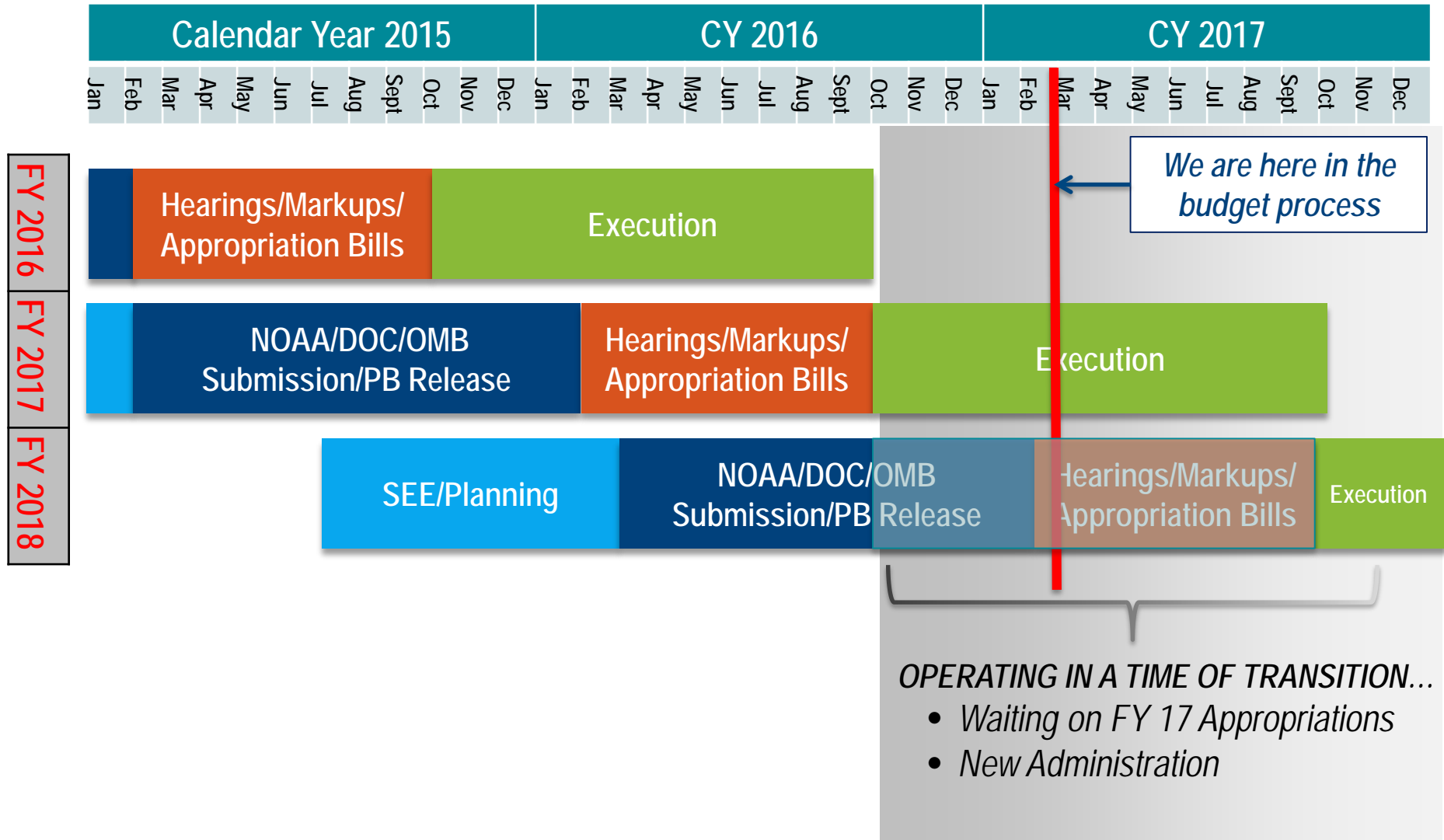
- Budget Status
- Council Funding
- Grants Update
- Strategy

Budget Status

The Federal Budget Cycle



The Federal Budget Timeline



President's Budget, Enacted, Rescissions, Available

Budget Planning

- Internal budget planning, priorities guidance, Strategy Execution and Evaluation (SEE) process.

Budget Formulation - President's Budget Request

- Guidance from NOAA, DOC, and OMB is provided to formulate the budget.
- Budget request to Congress is released the 1st Monday in February.

Hearings, Mark-ups and Appropriations

- After the budget request, Congress deliberates on the budget request typically through the spring and summer, and an appropriation bill is provided prior to the start of the fiscal year (Oct. 1).

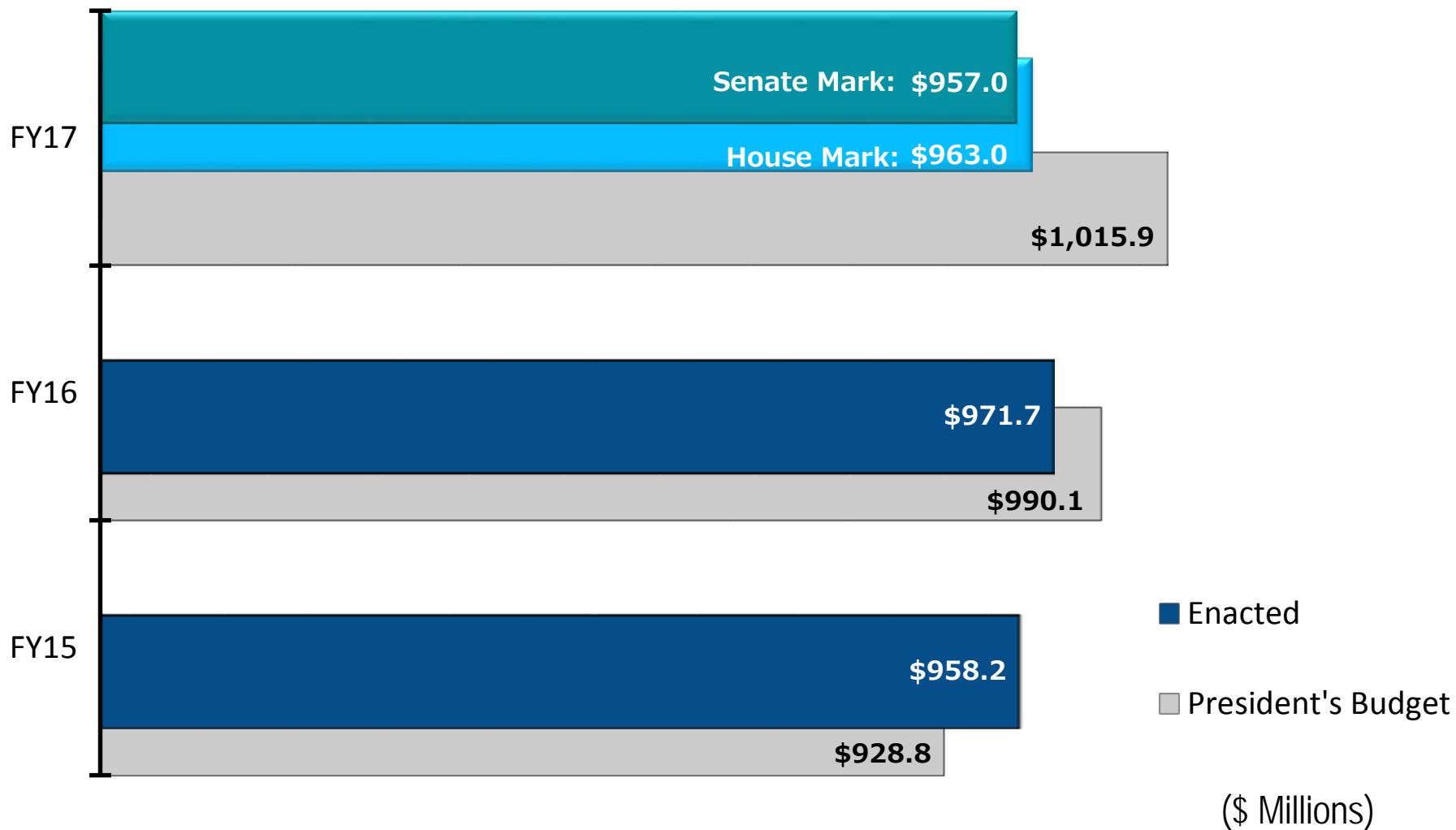
Budget Execution - Apportionment

- After the budget is appropriated, an apportionment must be signed to allow distribution of funds for obligation.

Rescissions & Other Costs

- From the appropriated amounts, agencies are subject to rescissions and other costs that must be accounted for before arriving at the funding available for a specific program or project.

Recap: FY 2017 Congressional Marks



FY 2017 Highlights

President's
Budget

Senate
Mark
(Draft Interpretation)

House
Mark

Core Capacity Investments:

• ESA/MMPA Section 7 Consultations	+\$13.5M	+\$ 2.7M	+\$7.0M
• EFH Consultations	+\$ 6.5M	+\$ 1.1M	+\$4.0M
• Pacific Salmon	+\$ 2.3M	--	+\$3.5M
• Species Recovery Grants	+\$16.0M	+\$ 0.5M	--
• NOAA Facilities Mukilteo	+\$ 4.6M	+\$ 4.6M	+\$4.6M

Advances in Fisheries Science and Management:

• Ecosystem Based Solutions-Fisheries Mgmt	+\$ 5.9M	--	--
• Aquaculture	+\$ 1.5M	+\$ 3.0M	(\$2.8M)
• Environmental and Economic Resilience	+\$ 9.0M	--	--
• Observers and Training	+\$ 1.1M	--	(\$0.2M)
• National Catch Share Program	+\$ 2.5M	--	--
• Distributed Biological Observatory (Arctic)	+\$ 0.9M	--	--

Strategic Programmatic Investments:

• Management of Fair Trade	+\$ 1.6M	+\$ 1.6M	--
• Cooperative Enforcement Program	+\$ 1.0M	--	--



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FY 2017 Continuing Resolution

- Operating on 2nd Continuing Resolution (CR) through April 28th
- Continues at or near FY 2016 Omnibus level
- Typical CR rules, such as no new starts, or terminations
 - Contract activity can continue
 - Grants can be awarded
- Potential for a full-year CR

FY 2017 Councils Funding

- Under the previous and current CRs, NMFS prioritized distributing funds to Councils and Commissions early--- in the 1st and 2nd quarters.
 - The 2nd quarter release of funds brought each of your FY17 funding levels to approximately 50% of the anticipated FY17 total.
 - We have only obligated funds represented in the top row (*Regional Councils line*) of your annual Council Funding table.
 - We will continue to fund the Councils as early as possible as the fiscal environment unfolds during the year.

FY 2017 Councils Funding and Adjustments to Base

FY 2017 Senate CJS Report Language:

"Fishery Councils and Commissions.—No less than \$34,254,000 is provided to support the Regional Fishery Management Councils, Interstate Marine Fisheries Commissions, and International Fisheries Commissions. All amounts provided by this act for NMFS Regional Councils and Fisheries Commissions, which are above amounts provided in fiscal year 2016, shall be distributed in equal proportion between the Councils and the Interstate Fish Commissions."

- If this language is approved in the Conference Mark, NMFS will spread the provided increase over FY 2016 proportionally between Regional Councils and Fisheries Commissions.
- This would result in a different split of the increase between the Councils and Fisheries Commissions than requested in the President's Budget.

Grants update

External Grants

- **Electronic Monitoring and Reporting Grant Program (NFWF)**
 - The 2016 EMR Grant Program awards were announced September 14, 2016.
 - 10 grants awarded totaling over \$3.35 million.
 - Grants will be matched by nearly \$3.23 million from the grantees, for a total conservation impact of more than \$6.58 million.
 - NFWF's EMR Grant Program is supported by the NOAA, and the Gordon and Betty Moore Foundation.
 - The FY 2017 Program has not yet been announced.

External Grants - continued

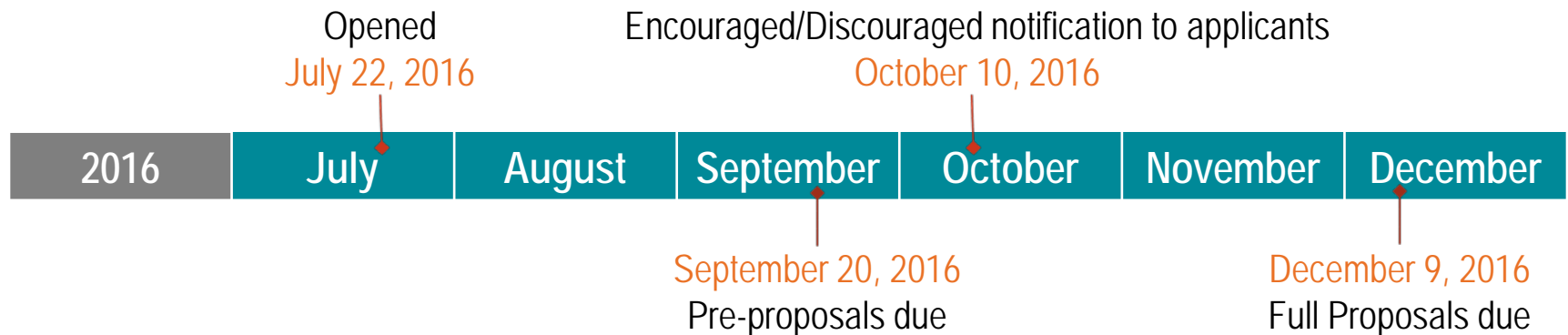
- Coastal Resilience Grants Program (Joint NMFS/NOS)
 - Integrates two existing grant programs:
 - Coastal Ecosystem Resiliency Grants Program administered by NOAA Fisheries
 - Regional Coastal Resilience Grants Program administered by NOAA's National Ocean Service.
 - Supports two categories of activities:
 - Strengthening coastal communities
 - Habitat restoration
 - FY 2017 funding opportunity announced on January 13, 2017.
 - Closing date for applications: March 15, 2017.
 - Estimated Total Program Funding: \$15,000,000

FY 2017 Saltonstall Kennedy (S-K)

- ~\$10M will be available in FY 17
- Priorities remain steady from FY 16 solicitation
 - Aquaculture
 - Fisheries Data Collection
 - Techniques for Reducing Bycatch and Other Adverse Impacts
 - Adapting to Climate Change and Other Long Term Ecosystem Change
 - Promotion, Development and Marketing
 - Socio-Economic Research
 - Territorial Science

FY 2017 S-K Competitive Process

- NMFS received 667 pre-proposals
- 256 Pre-proposals were “encouraged” to submit a full application.
- 277 Full Applications were received.



FY17 S-K Process – Next Steps

- Full Applications have received a minimum of 3 technical reviews.
- Approximately, the top 90 applications will move to the panel review.



- Approximately 50 applications will be recommended for funding based on panel scores.

Strategy in a time of transition

Focusing on our Strategic Goals

- Ensure the Sustainability of Fisheries and Fishing Communities
- Recover and Conserve Protected Species
- Improve Organizational Excellence



Fisheries Priorities and Annual Guidance for 2017



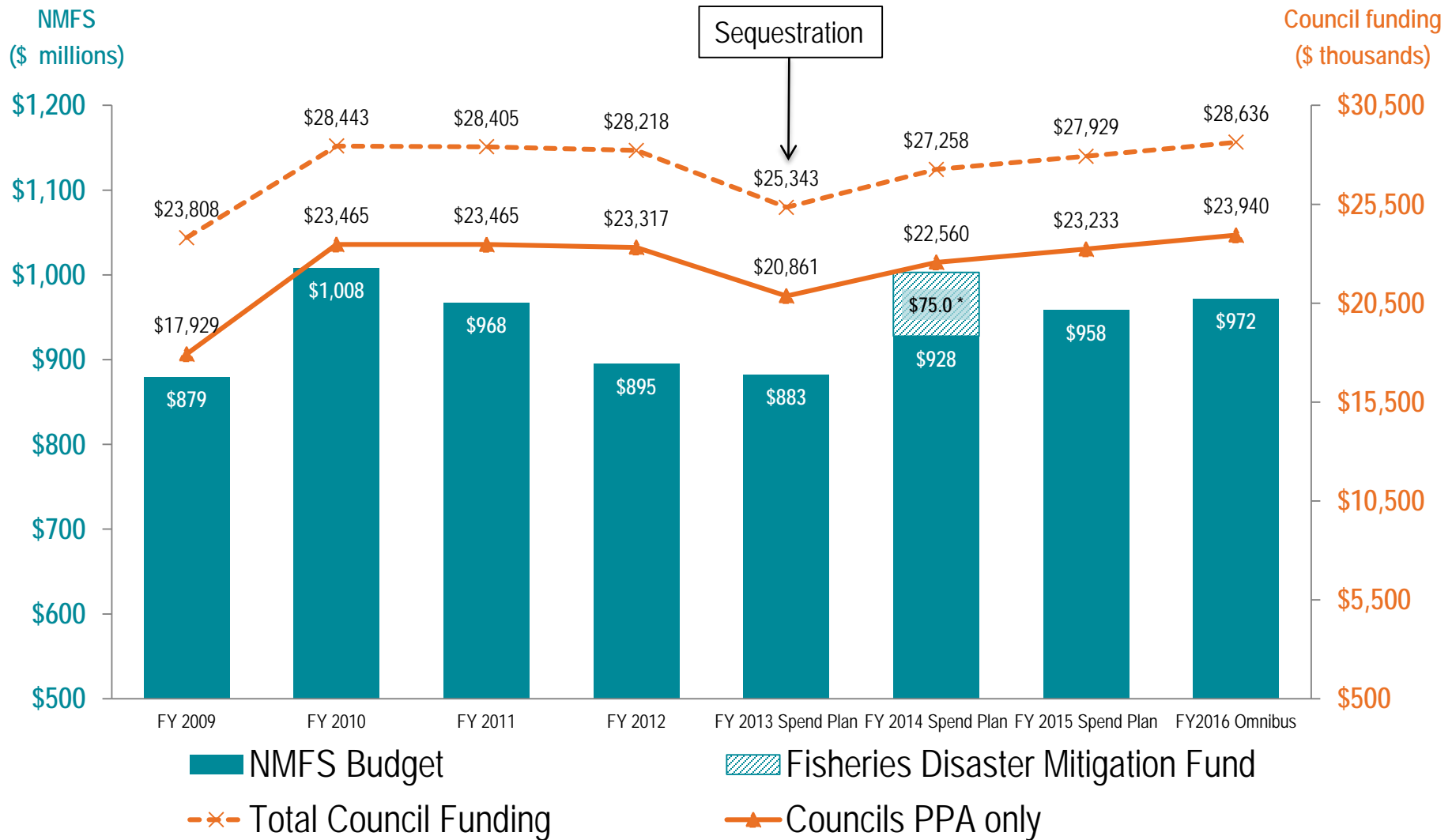
A close-up, front-facing photograph of a fish, likely a rockfish, with a light blue-grey body and numerous bright orange spots. The fish's eyes are large and dark, and its mouth is slightly open. The background is a soft, out-of-focus blue and white.

Questions?



BACKGROUND

Historical Budget Trends of NMFS and Councils



*FY 2014 Spend Plan includes \$75M for the Fisheries Disaster Mitigation Fund.



NOAA FISHERIES

FY 2016 Council Funding

[Estimate based on current spend plan and is subject to change]

NMFS Funding Source (\$ in Thousands)	FY2015 Spend Plan	FY2016 Omnibus	New England	Mid- Atlantic	South Atlantic	Gulf of Mexico	Caribbean	North Pacific	Pacific	Western Pacific
Regional Council PPA	\$23,233	\$23,940	\$3,507	\$3,040	\$2,574	\$3,100	\$1,640	\$3,747	\$3,513	\$2,819
Fisheries Management Programs and Services										
National Environmental Policy Act	\$756	\$756	\$111	\$96	\$81	\$98	\$52	\$118	\$111	\$89
ACL Implementation	\$1,678	\$1,678	\$246	\$213	\$180	\$217	\$115	\$263	\$246	\$198
Regulatory Streamlining Program	\$803	\$803	\$118	\$102	\$86	\$104	\$55	\$126	\$118	\$95
SSC Stipends	\$474	\$474	\$69	\$60	\$51	\$61	\$32	\$74	\$69	\$56
Council Peer Review	\$474	\$474	\$71	\$0	\$118	\$0	\$0	\$0	\$142	\$142
Fisheries Data Collections, Surveys, and Assessments										
Expand Annual Stock Assessments	\$512	\$512	\$0	\$0	\$482	\$30	\$0	\$0	\$0	\$0
FY 2016 Omnibus		\$28,636	\$4,122	\$3,511	\$3,573	\$3,611	\$1,894	\$4,327	\$4,200	\$3,398
FY 2015 Spend Plan	\$27,929		\$4,018	\$3,422	\$3,497	\$3,519	\$1,846	\$4,217	\$4,096	\$3,315

*Columns may not add due to rounding

FY 2016 Saltonstall-Kennedy Grants

- NMFS announced the FY16 Competitive Program via Grants.gov on September 4, 2015
- ~ \$11.3M worth of applications were funded in the third and fourth quarters of FY16
- 325 eligible applications (worth ~ \$76M) were received
- 94 were selected for scoring by Constituency panels.
- The top 50 were funded
- For more information about the projects, visit <https://goo.gl/C3ELWH>

FY16 S-K Funding by Region and Priority

By NMFS Region	# applications recommended out of those selected for scoring	Tentative Funding
Alaska	3 of 7	\$0.7 M
Greater Atlantic	22 of 42	\$4.6 M
Pacific Islands	7 of 12	\$1.6 M
Southeast	6 of 18	\$1.5 M
West Coast	12 of 15	\$2.8 M
By Priority		
Aquaculture	11 of 21	\$2.6M
Gear and By-Catch	7 of 13	\$1.2M
Climate Change	8 of 18	\$2.1M
Promotion and Development	3 of 6	\$0.6M
Socio-Economics, etc.	4 of 7	\$1.0M
Data Collection	15 of 27	\$3.4M
Territorial Science	2 of 2	\$0.5M

